

FORM PTO-1449 U.S. Department of Commerce
(Rev. 4/92) Patent and Trademark Office

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

L741.02102

SERIAL NO.

10/085,127

APPLICANT

Yves AUDEBERT, et al.

FILING DATE

March 1, 2002

GROUP

2131

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

[illegible]

EXAMINER

DATE CONSIDERED

1/5/06

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
Already Considered	6 1 0 1 2 5 4	08/2000	Thiriet			
	6 1 3 1 8 1 1	10/2000	Gangi			
	6 0 0 5 9 4 2	12/1999	Chan et al.			
	6 1 4 4 6 7 1	11/2000	Perinpanathan et al.			
	5 7 7 8 0 7 1	07/1998	Caputo et al.			
Invalid Number	2002 0 2 5 0 4 6	02/2002	Lin			
Already Considered	6 2 7 9 0 4 7	08/2001	Bublitz et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES NO
Already Considered	9 9 6 2 2 1 0	12/1999	WO			
	0 1 2 2 3 7 3	03/2001	WO			
	0 1 1 6 9 0 0	03/2001	WO			
	9 8 5 2 1 6 1	11/1998	WO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

No Page Number	Global Platform, Open Platform, Terminal Specification, Version 1.5, November 1999.
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	Global Platform, Multi Application- Smart Card Management Systems, Global Platform Functional Requirements, Version 3.3, November 2000.
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	ISO/IEC 7816-5: 1994/Amd. 1: 1996(E) Identification cards, Integrated circuit(s) cards with contacts, Part 5: Numbering system and registration procedure for application identifiers, Amendment 1.
	ISO/IEC 7816-5: 1994(E) Identification cards, Integrated circuit(s) cards with contact, Part 5: Numbering system and registration procedure for application identifiers.
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	Chen, Zhiquan, "How to write a Java Card applet: a Developer's Guide", Javaword, July 1999.
	Chen, Zhiquan et al., "Understanding Java Card 2.0," Javaworld, March 1998.
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	6 1 4 4 6 7 1	11/2000	Perinpanathan et al.			
	5 7 7 8 0 7 1	07/1998	Caputo et al.			
Invalid Number Already Considered	2002 0 2 5 0 4 6	02/2002	Lin			
	5 9 9 1 4 0 7	11/1999	Murto			

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	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
	YES	NO											
Already considered ↓	9	9	6	2	2	1	0	12/1999	WO				
	0	1	2	2	3	7	3	03/2001	WO				
	0	1	1	6	9	0	0	03/2001	WO				

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No Page Numbers	Global Platform, Open Platform, Terminal Specification, Version 1.5, November 1999.
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2002	0 2 5 0 4 6	02/2002	LIN			
Already Considered	6 1 4 4 6 7 1	11/2000	Perinpanathan et al.			

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Already Considered X	0	1	2	2	3	7	3	03/2001	WO					
	0	1	1	6	9	0	0	03/2001	WO					
	9	9	6	2	2	1	0	12/1999	WO					

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	6	0	0	5	9	4	2	12/1999	Chan et al.			
	5	9	9	1	4	0	7	11/1999	Murto			
	6	2	7	9	0	4	7	08/2001	Bublitz et al.			

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↓		0	1	5	9	7	3	0	08/2001	WO				
Missing Even Pages		0	9	1	1	7	7	2	04/1999	EP				
Not in English	1	9	5	2	2	5	2	7	01/1997	DE				

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	Chen, Zhiquan et al., "Understanding Java Card 2.0," Javaword, March 1998.
	Posey, Brian, "Using Smart Cards with Windows 2000," TechCrawler.com, September 28, 2000.
No Application Number	International Search Report dated August 23, 2002.

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MT H	6	1	0	1	2	5	4		08/2000	Thiriet	✓	—	
MT H	6	1	3	1	8	1	1		10/2000	Gangi	✓	—	
Already considered	6	0	0	5	9	4	2		12/1999	Chan et al.	✓	—	

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DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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No Page Number	
	Global Platform, Open Platform, Terminal Specification, Version 1.5, November 1999. ✓
	Global Platform, Open Platform Terminal Framework, API Version 1.5.4, July 20, 2000. ✓
	Global Platform, Multi Application- Smart Card Management Systems, Global Platform Functional Requirements, Version 3.3, November 2000. ✓
	ISO/IEC 7816-4: 1995/Amd.1:1997(E) Information technology, Identification card, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, Amendment 1.
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	ISO/IEC 7816-5: 1994/Amd. 1: 1996(E) Identification cards, Integrated circuit(s) cards with contacts, Part 5: Numbering system and registration procedure for application identifiers, Amendment 1. ✓
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	Java Card 2.1 Application Programming Interface Sun Microsystems, Inc. Final Revision 1.1, June 7, 1999. ✓
	Chen, Zhiqun, "How to write a Java Card applet: a Developer's Guide", Javaword, July 1999. ✓
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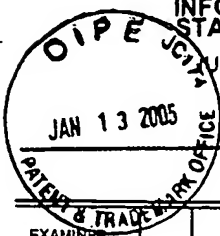
Yves AUDEBERT, et al.

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MTH		6	1	4	4	6	7	1	11/2000	Perinpanathan et al.	✓		

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER								DATE	COUNTRY	CLAS	SUBC CLASS	TRANSLATIO N	
													YES	NO
MTH		9	9	6	2	2	1	0	12/1999	WO ✓	✓			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

International Search Report dated March 9, 2002. ✓

P. TROMMLER, et al.; "Smart cards and the OpenCard Framework," Java World, January, 1998, ✓
XP002173639, pp. 1-12.

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Already Considered	0 1 3 1 8 1 1	10/2000	Gangi			
Incorrect Number	0 1 0 1 2 5 4	08/2000	Thiriet			
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MTH	5 9 9 1 4 0 7	11/1999	Murto			

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														YES	NO
MTH	.		0	1	2	2	3	7	3	03/2001	WO ✓				
MTH			0	1	1	6	9	0	0	03/2001	WO				

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	6 1 3 1 6 1 1	10/2000	Gangi			
	6 0 0 5 9 4 2	12/1999	Chen et al.			

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MT H	6 0 1 8 7 7 9	01/2000	Blumenau	✓	—	
MT H	6 1 2 8 3 3 8	10/2000	Behaghel et al.	✓	—	

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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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MT H	0 9 2 3 2 1 1	06/1999	EP	✓	—		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

No Application Number	International Search Report dated April 23, 2003.
MT H	European Telecommunications Standards Institute: "Digital Cellular Telecommunications System (Phase 2+) (GSM); Universal Mobile telecommunications System (UMTS); Security Mechanisms for the (U) SIM application toolkit; Stage 2: (3GPP TS 23.048 version 4.1.0 Release 4)" ETSI TS 123 048 V4.1.0, September 2001, pp. 1-35, XP002237189 ETSI Technical Specification. ✓
MT H	"Digital Cellular Telecommunications System (Phase 2+) (GSM); Specification of the SIM Application Toolkit for the Subscriber Identity Module - Mobile Equipment (SIM - ME) Interface (GSM 11.14 version 8.3.0 Release 1999)" ETSI TS 101 267 V8.3.0, XX, XX, August 2000, pp. 1-69, 114, 115, XP002222021. ✓

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Missing
odd pages

~~T. FBRINGER, et al.: "Parasitic Authentication To Protect Your E-Wallet," Computer, IEEE Computer Society, Long Beach, CA, US, vol. 33, no. 10, October 1, 2000, XP001001747, ISBN: 0018-9162, pp. 54-60.~~

Already
Considered

~~P. FROMMLER, et al. "Smart cards and the OpenCard Framework," Java World, January, 1998, XP002473639, pp. 1-42.~~

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APPLICANT

Yves Louis Gabriel AUDEBERT, et al.

FILING DATE

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U.S. PATENT DOCUMENTS

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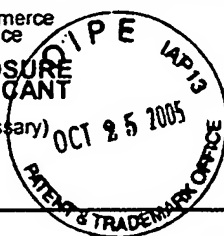
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
MTH	6 9 4 4 6 5 0	09/2005	Urien	—	—	
MTH	6 3 8 5 7 2 9	05/2002	DiGiorgio et al.	—	—	
MTH	6 7 5 1 6 7 1	06/2004	Urien	—	—	

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO

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MTH	Office Action dated October 20, 2005 in 09/844,272.

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